Kloeppner et al.

011131

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**DECLARATION FOR UTILITY OR** 

**DESIGN** 

Attorney Docket Number

**First Named Inventor** 

| PATENT APPL  | cc                             | COMPLETE IF KNOWN                   |                         |                       |                   |  |  |  |
|--|--------------------------------|-------------------------------------|-------------------------|-----------------------|-------------------|--|--|--|
| (37 CFR 1  | Application Nun                | nber                                |                         |                       |                   |  |  |  |
| X Declaration  | ]<br>Declaration               | Filing Date                         | Augu                    | st 28 , 20            | 001               |  |  |  |
| Submitted OR with Initial  |                                | Group Art Unit                      | N/A                     |                       |                   |  |  |  |
| Filing   | (37 ČFR 1.16 (e))<br>required) | Examiner Name                       | N/A                     |                       |                   |  |  |  |
| As a below named inventor, I he  | reby declare that:             |                                     |                         |                       |                   |  |  |  |
| My residence, mailing address, an  | d citizenship are as stated    | d below next to my nam              | e.                      |                       |                   |  |  |  |
| I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:   |                                |                                     |                         |                       |                   |  |  |  |
| ELECTROCHROMIC MEDIUM HAVING A SELF-HEALING CROSS-LINKED POLYMER GEL AND ASSOCIATED ELECTROCHROMIC DEVICE  |                                |                                     |                         |                       |                   |  |  |  |
| <u> </u>   |                                |                                     |                         |                       |                   |  |  |  |
| the specification of which   | (Title of the                  | Invention)                          |                         |                       |                   |  |  |  |
| ·<br>[v]   |                                |                                     |                         |                       |                   |  |  |  |
| is attached hereto   |                                |                                     |                         |                       |                   |  |  |  |
| OR was filed on (MM/DD/YYYY)   |                                | as United St                        | ates Application I      | Number or PCT In      | ternational       |  |  |  |
| ,  | <u></u>                        |                                     |                         |                       |                   |  |  |  |
| Application Number   | and was am                     | nended on (MM/DD/YY                 | Y)                      |                       | (if applicable).  |  |  |  |
| I hereby state that I have reviewed amended by any amendment spec  |                                | ents of the above identi            | ified specification     | n, including the clai | ms, as            |  |  |  |
| I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.  |                                |                                     |                         |                       |                   |  |  |  |
| I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed. |                                |                                     |                         |                       |                   |  |  |  |
| Prior Foreign Application<br>Number(s)   | Country                        | Foreign Filing Date<br>(MM/DD/YYYY) | Priority<br>Not Claimed | Certified Cop<br>YES  | y Attached?<br>NO |  |  |  |
| , ,  |                                |                                     |                         |                       |                   |  |  |  |
| Additional foreign application r   | numbers are listed on a su     | upplemental priority dat            | a sheet PTO/SB          | /02B attached here    | eto:              |  |  |  |

# **DECLARATION** — Utility or Design Patent Application

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| I hereby declare that all statements made herein of my or<br>are believed to be true; and further that these statement<br>made are punishable by fine or imprisonment, or both, un<br>validity of the application or any patent issued thereon. | ts were made with  | the knowledge that willful t | alse statements and the like so |  |  |  |  |  |
| NAME OF SOLE OR FIRST INVENTOR :  | A petition h       | as been filed for this un    | signed inventor                 |  |  |  |  |  |
| Given Name  (first and middle [if any]) Leroy J.  Family Name or Surname Kloeppner  |                    |                              |                                 |  |  |  |  |  |
| Inventor's Zury J. Weepsen  | ц                  |                              | Date 8/24/01                    |  |  |  |  |  |
|   |                    |                              |                                 |  |  |  |  |  |
| Residence: City Jenison   | State MI           | Country US                   | Citizenship US                  |  |  |  |  |  |
| Mailing Address 8215 Amelia Drive   |                    |                              |                                 |  |  |  |  |  |
| City Jenison  | State MI           | ZIP 49428                    | Country US                      |  |  |  |  |  |
| NAME OF SECOND INVENTOR:  | A petition has     | been filed for this unsi     | gned inventor                   |  |  |  |  |  |
| Given Name (first and middle [if any]) Thomas F.  |                    | Family Name or Surname Guarr |                                 |  |  |  |  |  |
| Inventor's Signature  | vou                |                              | Date 8/24/()                    |  |  |  |  |  |
| Residence: City Holland   | State MI           | Country US                   | Citizenship US                  |  |  |  |  |  |
| Mailing Address 14190 Deer Cove   |                    |                              |                                 |  |  |  |  |  |
| City Holland  | State MI           | ZIP 49424                    | Country US                      |  |  |  |  |  |
| $\overline{X}$ Additional inventors are being named on the $\frac{1}{X}$  | oplemental Additio | nal Inventor(s) sheet(s) PTO | /SB/02A attached hereto.        |  |  |  |  |  |

#### **DECLARATION**

ADDITIONAL INVENTOR(S)
Supplemental Sheet
Page 1\_ of \_1

| Name of Additional Joint Inventor, if a                             |       | A petition has been filed for this unsigned inventor |        |                        |              |                 |                     |
|---|-------|--|--------|------------------------|--------------|-----------------|---------------------|
| Given Name (first and middle [if an                                 | y])   |  |        | Far                    | nily Name    | or Si           | ımame               |
| Kevin L.  |       |  | A      | sh                     |              |                 |                     |
| Inventor's Juni L.  | Cis   | _  |        |                        |              |                 | Date 8-24-01        |
| Residence: City Grand Rapids  | State | e MI   |        | Country US             |              |                 | Citizenship US      |
| Mailing Address 2540 South Win                                      | esap  | Cour   | ct :   | N.E.                   |              |                 |                     |
| Mailing Address   |       |  |        |                        |              |                 |                     |
| City Grand Rapids   | State | e MI   |        | ZIP 49525              | Co           | untr            | , US                |
| Name of Additional Joint Inventor, if a                             | ny:   |  |        | A petition has be      | een filed fo | or this         | s unsigned inventor |
| Given Name (first and middle [if any])                              |       |  | $\Box$ | Far                    | nily Name    | or St           | ırname              |
| Kathy E. ,  |       |  | 1      | Roberts                |              |                 |                     |
| Inventor's Signature Lucian   |       |  |        |                        |              | 8/14/0/<br>Date |                     |
| Residence: CityEast Grand Rapids State MI Country US Citizenship US |       |  |        |                        |              | Citizenship US  |                     |
| Mailing Address 1061 Plymouth                                       | Aven  | ue S.  | Ε.     |                        |              |                 |                     |
| Mailing Address   |       |  |        |                        |              |                 |                     |
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| Given Name (first and middle [if any                                | 1)    |  |        | Family Name or Surname |              |                 |                     |
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| Inventor's<br>Signature   |       |  |        |                        |              |                 | Date                |
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| Application Number     | N/A  |
|------------------------|--|
| Filing Date            | August 28:, 2001                             |
| First Named Inventor   | August <b>28</b> ., 2001<br>Kloeppner et al. |
| Title                  | ELECTROCHROMIC                               |
| Group Art Unit         | N/A  |
| Examiner Name          | N/A  |
| Attorney Docket Number | 011131                                       |

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| Name                          | Ler             | oy J. Kloepp                              | ner   |                     |                                       |
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| Application Number     | N/A              |
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| Filing Date            | August 28 , 2001 |
| First Named Inventor   | Kloeppner et al. |
| Title                  | ELECTROCHROMIC   |
| Group Art Unit         | N/A              |
| Examiner Name          | N/A              |
| Attorney Docket Number | 011131           |
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| Statement under 37 CFR 3.73(b) is enclosed. (Form PTC  |   |
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| Name Leroy J. Kloeppner  |   |
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|               | SIGNATURE   | of Applicant or Assign  | ee of Re    | cord  |
| Name          | Kevin L. Ash  |                         |             |   |
| Signature     | Juin 1  | L.and                   |             |   |
| Date          | 18-24-  | 0/                      |             |   |
|               | the inventors or assignees of signature is required, see belo |                         | or their re | presentative(s) are required. Submit multiple |
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| Assignee of reco   | ord of the entire interest. See 37 CFR 3.7   | 1  |
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| Application Number     | N/A              |
|------------------------|------------------|
| Filing Date            | August 28 . 2001 |
| First Named Inventor   | Kloeppner et al. |
| Title                  | ELECTROCHROMIC   |
| Group Art Unit         | N/A              |
| Examiner Name          | N/A              |
| Attorney Docket Number | 011131           |

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